

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

PPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
10/826,972	04/16/2004	Sung Hwan Moon	200146.402C4	1030
500 7	7590 01/31/2006		EXAMINER	
SEED INTELLECTUAL PROPERTY LAW GROUP PLLC			BALASUBRAMANIAN, VENKATARAMAN	
701 FIFTH AN SUITE 6300	/E		ART UNIT	PAPER NUMBER
SEATTLE, WA 98104-7092			1624	

DATE MAILED: 01/31/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)
	10/826,972	MOON ET AL.
Office Action Summary	Examiner	Art Unit
	Venkataraman Balasubramanian	1624 '
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DOWN THE MAILING DOWN THE MAILING DOWN THE SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period to Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONEI	I. ely filed the mailing date of this communication. O (35 U.S.C. § 133).
Status		
1) ☐ Responsive to communication(s) filed on 15 N 2a) ☐ This action is <b>FINAL</b> . 2b) ☐ This 3) ☐ Since this application is in condition for alloware closed in accordance with the practice under E	action is non-final. nce except for formal matters, pro	
Disposition of Claims		
4) Claim(s) 8,12,13 and 16-43 is/are pending in the 4a) Of the above claim(s) 16-42 is/are withdraw 5) Claim(s) is/are allowed.  6) Claim(s) 8,12,13 and 43 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/o  Application Papers  9) The specification is objected to by the Examine 10) The drawing(s) filed on is/are: a) accomposite and applicant may not request that any objection to the Replacement drawing sheet(s) including the correct	vn from consideration.  r election requirement.  er.  epted or b) objected to by the Edrawing(s) be held in abeyance. See ion is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).
11)☐ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PTO-152.
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority document: 2. Certified copies of the priority document: 3. Copies of the certified copies of the priority application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Application rity documents have been receive u (PCT Rule 17.2(a)).	on No ed in this National Stage
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date 10/27/04,12/28/05.	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	

Application/Control Number: 10/826,972

Art Unit: 1624

#### **DETAILED ACTION**

#### Election/Restrictions

Applicant's election of Group I, claims 8, 12, 13 and 43 in the reply filed on 11/15/2005 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)). Claims 9-11, 14-42 are withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected subject matter. However, as pointed out by the applicants, these claims are eligible for rejoinder and will be rejoined upon allowance of the elected Group and an appropriate action will be taken as per revised MPEP.

Claims 8, 12, 13 and 43 are under examination.

### Information Disclosure Statement

References cited in the Information Disclosure Statements filed on 10/27/2004 & 12/28/2005, are made of record.

## Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 8, 12, 13 and 43 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Any claim not specifically rejected is rejected as it is dependent on a rejected claim and shares the same indefiniteness.

1. Claim 8 and its dependent claims 12, 13 and 43 are indefinite for more than one

Art Unit: 1624

reason. First of the term "general' implies more than what is being positively embraced in formula VIII or VI. Its deletion is suggested. In addition, claim 8 recites "the compound having the general formula VII is capable of serving as s substrate for phosphatase....". It is not clear how to interpret this limitation. Are all compounds of formula not substrate for the enzyme? Note functional limitation cannot define a compound. Se Intirtool, LTD. V. Texar Corp., 70 USPQ2D 1780. Note court held that "In general, a claim preamble is limiting if recites essential structure or steps or if it is necessary to give" life, meaning, and vitality to claim.'.... However, if the body of the claim describes a structurally complete invention such that deletion of the preamble phrase does not effect the structure or steps of the claimed invention,' the preamble is generally not limiting unless there is clear reliance on the preamble during prosecution to distinguish the claimed invention from the prior art.""

Instant claim is a compound claim and is clearly defined by a structure namely a formula VI with a side chain bearing Y-R<sub>10</sub> substituents. Omission of the attributes to the compound of genus of claim 8 would not alter the structure of these compounds.

## Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422

Application/Control Number: 10/826,972

Art Unit: 1624

F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 8, 12, 13 and 43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 2 and 5-14 of copending Application No. 10/803,179. Although the conflicting claims are not identical, they are not patentably distinct from each other because the subject matter namely the hexahydro-pyrazino[2,1-c][1,2,4-]triazine compounds and composition, are also embraced in the claims 1, 2 and 5-14 of copending application 10/803,179

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claims 8, 12, 13 and 43 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 8, 12-14 and 43 of copending Application No. 11/108,164. Although the conflicting claims are not identical, they are not patentably distinct from each other because the subject matter namely the hexahydro-pyrazino[2,1-c][1,2,4-]triazine compounds and composition, are also embraced in the claims 18, 12-14 and 43 of copending application 11/108,164.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Page 5

Application/Control Number: 10/826,972

Art Unit: 1624

Conclusion

Any inquiry concerning this communication from the examiner should be

addressed to Venkataraman Balasubramanian (Bala) whose telephone number is (571)

272-0662. The examiner can normally be reached on Monday through Thursday from

8.00 AM to 6.00 PM. The Supervisory Patent Examiner (SPE) of the art unit 1624 is

James O. Wilson, whose telephone number is 571-272-0661. The fax phone number for

the organization where this application or proceeding is assigned (571) 273-8300. Any

inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for published

applications may be obtained from either Private PAIR or Public PAG. Status

information for unpublished applications is available through Private PAIR only. For

more information about the PAIR system, see http://pair-direct.uspto.gov. Should you

have questions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-2 17-9197 (toll-free).

Venkataraman Balasubramania

1/22/2006